



### **FSC Advice Note**

Title	Expiry of national / regional FSC-endorsed forest stewardship standards
	FSC-ADV-60-006 V1-0 EN
Date	08 <sup>th</sup> of December 2008
Status	Approved by Head of FSC Policy and Standards Unit
Advice sought on	Period of validity, conditions for maintaining the validity and mechanism after expiry of FSC-endorsed national / regional forest stewardship standards

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### FSC Advice

### 1 Period of validity

1.1 FSC-endorsed national / regional forest stewardship standards are valid until revoked by FSC (following a technical evaluation of the standard) or until replaced by a revised national / regional forest stewardship standard (developed by an authorized standard development group and endorsed by FSC).

# 2 Maintaining the validity of endorsed national / regional forest stewardship standards

2.1 5 years after endorsement and every 5 years thereafter, FSC will carry out a technical evaluation of each national / regional standard to verify the continued compliance of the standard with all relevant FSC requirements.

NOTE: FSC may also conduct an interim evaluation following significant changes in relevant FSC requirements as necessary.

- 2.2 The technical evaluation is conducted on the endorsed version of the standard if:
  - 2.2.1 FSC did not receive a valid revision report from the standard development group / national working group within the defined timeline (see FSC-STD-60-006) from the endorsement date of the national / regional standard.
  - 2.2.2 A valid revision report is received from a standard development group / national working group that documents that national stakeholders and membership did not decide on the need for a revision process, but on the maintenance of the existing version of the standard.
  - NOTE: Section 4.1 explains what constitutes a 'valid revision report'.

- 2.3 The technical evaluation is conducted on the revised version of the standard if:
  - 2.3.1 A standard development group / national working group completed a formal revision process of the standard and submitted the revised standard for endorsement within the specified timeline.

NOTE: see Section 4 for details on the revision process

- 2.4 FSC will endorse a national / regional forest stewardship standard after evaluation for another period of 5 years if it is found to be in full compliance with all relevant FSC requirements.
- 2.5 FSC will revoke a national / regional forest stewardship standard if it is found <u>not</u> to be in full compliance with all relevant FSC requirements.

## 3 Transition period in the case of revocation of FSC-endorsed national / regional forest stewardship standards

- 3.1 All FSC-accredited certification bodies and endorsed National Initiatives will be informed by FSC on a revocation of a national / regional forest stewardship standard through the FSC electronic network.
- 3.2 Together with the revocation notification, FSC will define an expiry date (usually 12 months after the revocation decision) for the national / regional forest stewardship standard on which all certificates issued on the basis of this standard will become invalid.
- 3.3 During the transition period between the announcement by FSC and the expiry date, FSC-accredited certification bodies can transfer a certificate from the national standard to their adapted generic standard on the basis of a regular surveillance audit, assuming that the certificate holder can demonstrate full compliance with the adapted generic standard. A revised certificate may subsequently be issued, but without changing its original expiry date.
- 3.4 After the expiry date of the national / regional standard, accredited certification bodies shall use their adapted generic forest stewardship standard in any type of forest management audit, unless a revised national / regional standard is approved within the transition period.
- 3.5 Accredited certification bodies shall adapt their generic standard to the local conditions in compliance with FSC requirements before performing any main, surveillance or re-certification audit.
- 3.6 All open corrective action requests (CARs) issued on the basis of the revoked national / regional standard remain valid and have to be evaluated by the responsible certification body on the due date.

#### 4 Initiating the review process

- 4.1 No later than three years after the approval date of a national / regional forest stewardship standard, the working group shall collate and review all the comments on the standard; changes to associated elements of the FSC system; as well as take into account external circumstances and the continued relevance of the standard, and prepare a formal revision report to FSC proposing what if any steps should be taken to revise the standard.
  - NOTE: Forest Stewardship Standards are normally approved for a period of five years. Review and possible revision processes should be planned in order to have a final draft recommended to the FSC by the end of this period (plus an optional extension)
  - NOTE: Should the working group cease to function during or after the development of the Forest Stewardship Standard, all records shall be handed over to FSC.
- 4.2 The formal revision report shall be submitted to FSC for approval.

#### References

FSC-STD-20-003 (V2-1)	Local Adaptation Of Certification Body Generic Forest Stewardship Standards
FSC-STD-60-006 (D4-7)	Process requirements for the development of Forest Stewardship Standards (draft under development)
FSC-GUI-60-001 (V1-0)	FSC National Initiatives Manual
GA 2005 Motion Policy-49	Motion about the use of once accredited forest management standards

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